



MAHAYOGI GURU GORAKHNATH AYUSH UNIVERSITY, GORAKHPUR U.P
Ph.D. ORDINANCE 2025 GOVERNING THE AWARD OF THE DEGREE OF
DOCTOR OF PHILOSOPHY (Ph.D.)
in
AYURVEDA / UNANI / HOMEOPATHY
2025

आयुषो ददाति आरोग्यम्

This Ordinance of the Mahayogi Guru Gorakhnath Ayush University, **Gorakhpur**, shall be known as the **Doctor of Philosophy (Ph.D.) Ordinance 2025**, and shall come into effect from the date of its approval by the **Hon'ble Chancellor of the Mahayogi Guru Gorakhnath Ayush University**, Gorakhpur. This Ordinance shall cohere to, and cover all the provisions made by the University Grants Commission (Minimum Standards and Procedure for the Award of M.Phil./ Ph.D. Degrees) Regulations, 2016, following its subsequent amendments as on August 27, 2018, and October 16, 2018. The Degree of Doctor of Philosophy (Ph.D.) of the Mahayogi Guru Gorakhnath Ayush University, Gorakhpur, shall be conferred upon the candidates who fulfil the requirements as specified in this ordinance.

1.		Short Title, Application, and Commencement
1.1		This Ordinance shall be known as 'Doctor of Philosophy (Ph.D.) Degree Ordinance 2024', of the Mahayogi Guru Gorakhnath Ayush University, Gorakhpur.
1.2		The Mahayogi Guru Gorakhnath Ayush University will consider admission to the Ph.D. programme in the Faculties and Institutes established as per the University's statutes.
1.3		This Ordinance shall come into force from the academic session 2024-25

2.			Eligibility Criteria for Admission to the Ph.D. Programme
	2.1		Candidates for admission to the Ph.D. programme must possess a PG Degree in Ayurveda/Unani/Homeopathy/Siddha system of medicine/Sowa Rigpa or equivalent Post Graduate Degree in Interdisciplinary subjects(i.e- Allopathy, Pharmacognosy, Pharmaceutics, Biotechnology, Botany , Zoology , Homescience, Yogic sciences or any other discipline relevant for Research scope in Ayurveda/Unani/Homeopathy/Siddha system of medicine/Sowa Rigpa.
	2.2		Admission to the Ph.D. programme would ordinarily be allowed only in subjects where the university and university-affiliated colleges offer regular post-graduate programmes. The degree will be awarded in the subject to which the candidate is admitted. The respective Departments may encourage research in allied disciplines by promoting research in interdisciplinary areas and taking a co-supervisor from other relevant disciplines.

3.			Procedure for Admission
	3.1		Admission to the Ph.D. programme will be held once every year, as per the university's notification, through the Common Admission Test and interview.
	3.2		The University shall advertise admission notification on its website and through advertisement in at least 2 (two) national newspapers, of which at least 1 (one) shall be in Hindi, and the other 1 (one) in English. The admission notification shall clearly mention the number of seats for admission, including subject/discipline-wise distribution of the available seats, admission criteria, admission procedure, and all other relevant information for the benefit of the candidates.
	3.3		No research scholar shall be permitted to take admission to any other regular degree programme during the entire research period. The PhD Programme in a Department shall be classified under any one of the following categories: (a) Full-time PhD programme (b) Part-time PhD programme (Part-time programme will be Super Numeric. Such students will be enrolled under the supervision of Professors/Associate Professors only). Admission of Extra mural candidates Indian/Foreign origin

3.4	A candidate who is a recipient of National Doctoral Fellowship or other fellowships from government/semi government organizations (through All-India selection procedure conducted by the agency/organisation for award of research fellowships) such as Council of Scientific and Industrial Research (CSIR), University Grants Commission (UGC), All India Council for Technical Education (AICTE), Department of Science and technology (DST). Defence Research and Development Organization (DRDO). Department of Atomic Energy(DAE) Department of Biotechnology(DBT) Indian Council of Agricultural Research (ICAR). Indian Council of Medical Research (ICMR), Ayurveda, Yoga, Unani, Siddha, Homeopathy, Sowa Rigpa (AYUSH) and Allopathy can be admitted directly in Ph.D. Programme without Entrance and may be permitted as Part Time Scholars and similar National Level Organizations and reputed private Organization. No research scholar shall be permitted to take admission to any other regular degree programme during the entire period of research.
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4.		Ph.D. Programme
4.1		Full time Research scholars shall be regular scholars of the University, irrespective of whether the Supervisor is from the University or an affiliated college. However, regular teachers of the Mahayogi Guru Gorakhnath Ayush University, Gorakhpur or from the affiliated colleges (aided and self-financed) will be allowed to receive salary payments from their parent institutions. They will be required to take leave for at least one month during coursework and will have to attend the entire period of coursework by online. For the remaining period of the programme, their attendance will be accepted either at their respective parent institution or at the respective Department of the University. The Extramural Candidates and Employees of State & Central Government Services, Interdisciplinary Candidates and Foreign Nationals will be admitted directly in to Ph.D. programme without entrance on the basis of their Post-graduate degree equivalence, biodata with relevant publications, NOC from their employer and fulfilling visa etc. procedures from their countries for foreign nationals.
4.2		Duration of the Programme The Ph.D. programme shall be for a minimum duration of three years (including coursework) and a maximum of five years. This minimum duration shall be counted from the date of deposition of the first fees for the Ph.D. programme till the date of submission of the thesis. (Provided that, if the candidate is not successful in passing the coursework in the first attempt, then this five-year duration would be reckoned from the date of the subsequent coursework's fee submission date till the date of submission of the thesis).

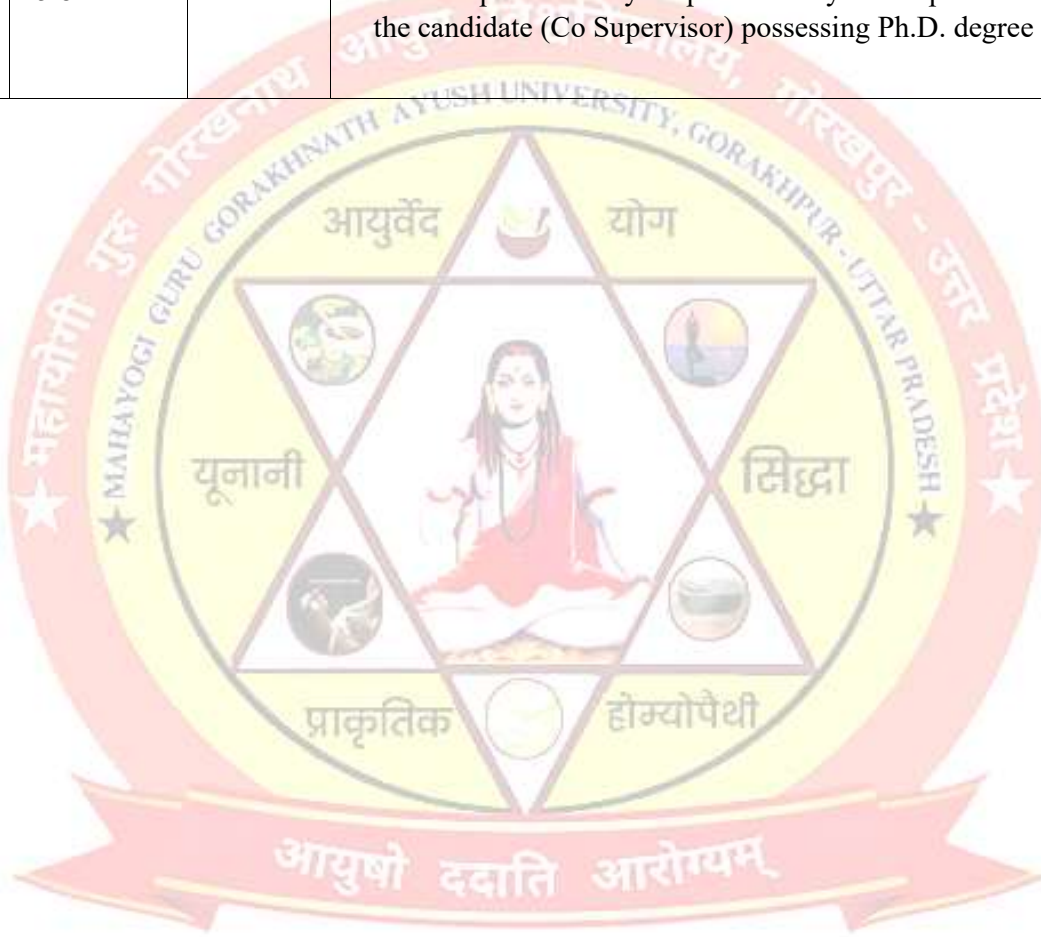
4.3		After completion of 5 years, the Vice Chancellor may grant a further extension of one year for submission of the thesis, based on an application duly submitted by the candidate concerned, giving reasons why she/he was unable to submit the thesis. The candidate's application in this regard should be duly forwarded and recommended by the Supervisor, the Departmental Research Committee (hereinafter referred to as the V.C.) and the Dean of the Faculty. No further extension shall be given under any circumstances.
4.4		For Regular candidates appearing in the Research Entrance Test (RET) is mandatory as well as interview/viva voce shall be mandatory for all candidates. Candidates who have qualified JRF and NET/UP-SLET are also required to appear in RET and secure stipulated minimum qualifying marks. However, JRF qualified candidates shall be eligible to avail their fellowship(s) from the date of payment of admission/first fees
4.5		Procedure for Admission The final selection of candidates for the Ph.D. programme shall be based on 70 per cent weightage to RET and 30 per cent weightage to performance in the interview/viva voce. (Including weightage to JRF/NET/UPSLET) This is as detailed in 'Annexure - A'
4.6		The RET paper shall comprise 50% research methodology and 50% subject-specific questions.
4.7		A candidate seeking admission to the Ph.D. programme must have secured at least 50% marks in RET. There will be a 5% relaxation in marks for OBC/SC/ST candidates, i.e. 45% will be the minimum qualifying marks for OBC/SC/ST candidates.

4.8	In the interview/viva voce, candidates will be required to discuss their research interest/area through a presentation before the V.C., and the following points should be ensured: • The candidate possesses the competence for the proposed research. • The selection of research topics related to the subject should follow the guidelines of AYUSH councils, such as NCISM/NCH/Yoga & Naturopathy and other topics relevant to the scope of Ayush systems. • The research work can be suitably undertaken at the University. The faculty of the associated colleges/working places of the research scholar will be co-opted to supervise Ph.D. scholars along with the University Faculty (wherever applicable). • The proposed area of research can contribute to new/additional knowledge. The V.C. shall award marks as per Annexure-A.
4.9	The final merit for admission to the Ph.D. programme shall be as follows: Total Marks = Marks secured in RET + Marks secured in Interview/viva voce performance for regular candidates. For Part time candidates merit list will be made based on performance in interview and Publications.

5.			Part-time Ph.D. Programme
	5.1		Duration The part-time Ph.D. programme shall be for a minimum duration of three years and maximum of five years. Any matter related to part time Ph.D, where the ordinances are silent in such situations the Honourable Vice-Chancellor is permitted to take decision.
	5.2		Allocation of the Research Supervisor: Eligibility criteria to be a Research Supervisor/Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc. (For Ph.D. programmes) Any regular Professor of the University having at least ten (10) research publications in indexed in Scopus/ PubMed/Web of Science/ Medline journals or UGC approved journals and regular Associate/Assistant Professor of the University/Colleges with a Ph.D. degree having at least five (5) research publications in refereed journals/UGC approved journals shall be recognized as a Research Supervisor. Provided that in areas/disciplines where there is none or only a limited number of refereed/UGC approved journals, the Vice Chancellor may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing. Any person appointed as Professor/Associate Professor/Assistant Professor shall submit relevant publication details to the V.C. at the time of allotment of Ph.D. seats for the first time. However, Professors (different levels) already supervising Ph.D. in their current capacity will be deemed to possess the necessary eligibility.
	5.3		Newly appointed Assistant Professors who have a Ph.D. degree may be allowed to register Ph.D. scholars under their supervision after successfully completing the probationary period.
	5.4		Only a full-time regular teacher of the University of Affiliated Colleges can be a supervisor. The Honourable Vice Chancellor may allow co-supervisors from other Departments/Universities/institutions/organisations (national or international) for interdisciplinary research areas. The Vice Chancellor of Mahayogi Guru Gorakhnath Ayush University, with the requisite academic (Teaching and Research)

			experience, is also eligible to be a Ph.D. supervisor.
	5.5		The Honourable Vice Chancellor shall decide the allocation of a Research Supervisor for a selected research scholar, depending on the number of scholars working under a Research Supervisor, the available vacancy per Research Supervisor, the available specialisation among the Supervisors, and the common research interests of the scholar and the Supervisor as indicated by them and with mutual consent.
	5.6	यूनानी	A Supervisor is not allowed to supervise a candidate who is his/her relative, whether by blood, adoption, or marriage. Explanation: In this ordinance, "relative" means the relations provided in the explanation to Section 20 of the U.P. State Universities Act 1973.
	5.7		At any given time, a Research Supervisor/Co-Supervisor who is a professor cannot guide more than 8 (eight) regular Ph.D. scholars ,however apart from this quota (i.e.-8 regular candidates) part time scholars/service candidates also may be permitted. An Associate Professor as Research Supervisor can guide up to a maximum of 6 (six) Ph.D. scholars, and an Assistant Professor as Research Supervisor can guide up to a maximum of 4 (four) Ph.D. scholars for a tenure of 3 years. New supervisors shall declare their seats in a phased manner, e.g., 50% in the first year and the rest in the next consecutive years. The vacancy of the seat only determined after the submission of the Ph.D. thesis.

			If a seat becomes vacant in any of the departments, it will be filled in the next academic session.
	5.8		A Co-Supervisor may be permitted by the Supervisor provided if the candidate (Co Supervisor) possessing Ph.D. degree .



	5.9		In case of relocation of a Ph.D. female scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate, provided all the other conditions in these regulations are followed in letter and spirit. The research work does not pertain to the project that the parent Department/University secured. The scholar will, however, give due credit to the parent guide and the University for the part of the research already done.
	5.10		On the recommendation of the Supervisor, The Honourable Vice Chancellor may permit a full-time research scholar to do a part of his/her research work at a place outside the University for a period not exceeding 6 months to collect data/process samples and any other requirements of the thesis which are not available at the place of research. In this case, the research scholar must be present before the RPC and DRC every six months with a progress report, duly signed by the Supervisor. The full-time research scholar shall work at the Institute/ University for the rest of the period.
	5.11★		The teachers of the Universities/Colleges who are themselves enrolled as Ph.D. scholars in the University or any other Institute/University cannot act as Ph.D. Supervisors/Co-Supervisors.
	5.12		If a candidate's Supervisor proceeds on leave/lien/deputation for more than twelve months, or she/he proceeds on leave for less than twelve months, but later extends their leave beyond twelve months, then a Co-Supervisor shall be appointed.
	5.13		If a candidate intends to change his/her supervisor for cogent reasons, the request should be forwarded through DRC to the Vice Chancellor. In such cases, the mutual consent of the present Supervisor, the proposed Supervisor, and the candidate is essential.
	5.14		If a candidate has been assigned a new Ph.D. Supervisor, he/she shall continue his/her research with the new supervisor and work for a minimum of one year before submitting his/her thesis.

	5.15		No candidate shall be allowed to change their Supervisor more than once.
6.			Coursework: Credit Requirements, Number, Duration, Syllabus, Minimum Standards for Completion,
	6.1		The 08 credits shall be assigned to two or more courses on: • Research methodology • Quantitative and qualitative methods, • Computer applications and research ethics • Review published research in the relevant field, training, and field work in the concerned subjects.
	6.2		All candidates admitted to the Ph.D. programme must complete 2 semesters of coursework and examination, then submit a Synopsis through the DRC. However, they will be required to clear the coursework exam. If an admitted candidate fails in the coursework, she/he will get another chance to reappear only in the next coursework semester exam, after submission of fees. If a candidate fails in the second attempt, his/her registration shall be cancelled.
	6.3		The grades in the coursework shall be finalized in accordance with the already approved CBCS system at the PG-level programmes. The grades will be awarded based on internal assessment and end-of-semester examination. The head of the department shall communicate the final grades to the research scholars and the Controller of Examinations for the record.
	6.4		In order to be eligible to continue in the Ph.D. programme and submit the thesis, a Ph.D. scholar must obtain a minimum of 50% marks or its equivalent CGPA in the coursework in order to be eligible to continue in the Ph.D. programme and submit the thesis.
7			Research Progress Committee (RPC) and Departmental Research Committee (DRC)—Its Composition and Functions

7.1	(a)	Research Progress Committee (RPC) There shall be an RPC for each Ph.D. scholar. The RPC shall consist of the following: • Chairperson - Supervisor of the concerned Ph.D. scholar. • Members of the RPC will be as follows: i. Co-supervisor (if any) of the concerned Ph.D. scholar ii. The vice chancellor will nominate one external subject expert. iii) One Subject internal expert nominated by the Supervisor
	(b)	Functions of the RPC This committee shall have the following responsibilities: ○ To periodically review and assist in the progress of the research work of the research scholar. ○ The research scholar shall appear before the RPC once every six months to present the progress of his/her work for evaluation and further guidance. The RPC shall forward the six-monthly progress reports to the DRC, with a copy to the research scholar.
7.2		Composition of DRC Departmental Research Committee (DRC) shall consist of: • Chairperson of DRC- HOD (Head of the Department)/Coordinator • Members of the DRC will be as follows: ○ Senior faculty possessing a Ph.D. degree/10 years of research experience. ○ Supervisor ○ Co-supervisor However, if the concerned chairperson and senior faculty members are not available, required members may be co-opted from other departments/ colleges.

		Functions of the DRC: ○ To permit and review the presentation of scholars' research proposals after successfully completing their coursework. ○ To review the research proposal and finalize the topic of research. ○ To review the report of the Research Progress Committee (RPC). To take up any other matter related to the Ph.D. programme, including Ph.D. admission, continuous assessment, research facility development, or any other issue related to the Ph.D.
	7.3	A research scholar must have a minimum of 75 percent attendance in the last six months to be eligible to present their research progress.
	7.4	The six-monthly progress report(s) shall be maintained in the respective Departments/ institutes, with a copy of the DRC report to be made available to the research scholar.
	7.5	In case the progress of the research scholar is unsatisfactory, the DRC shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the DRC may recommend cancellation of the registration of the research scholar to the Vice Chancellor.

8.		Evaluation and Assessment Methods
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	8.1		Pre-Ph.D. Submission Seminar
			After the Ph.D. scholar has completed their research work, they will present it before the RPC. The RPC will send the final report to the DRC, which shall then organize an open Pre-Ph.D. seminar, in the presence of the DRC and other teachers, research scholars of the Department, about the candidate's work, and receive suggestions for improvement of the research work. The DRC shall assess the work of the candidate through this seminar. If the DRC recommends major modifications in the thesis, the candidate shall do further work as advised and deliver a fresh seminar in the stipulated period specified by the DRC.
		8.1.1	If the DRC is satisfied with the candidate's pre-Ph.D. seminar, the Head of the Department shall forward the candidate's application for submission of the thesis, along with a certificate about the satisfactory completion of the pre-Ph.D. seminar and the exact title of the thesis, to the Examination Controller (EC).
		8.1.2	The candidate's seat shall be considered vacant on the date she/he submits the thesis.
	8.2		Submission of the Thesis
		8.2.1	The candidate is required to submit the thesis within six months from the date of his/her pre-Ph.D. seminar approval, failing which he/she will be required to deliver a fresh pre-Ph.D. submission seminar for approval.
		8.2.2	Copies of the abstract and the thesis shall be printed on both sides of the paper with soft-bound covers approved by the university.

	8.2.3	The candidate shall submit the thesis to the Head of the Department, with due endorsement by the Supervisor. While submitting the thesis, the candidate shall submit the following: (a) Three hard copies and one soft copy of the abstract of the thesis in about 500-600 words describing the salient features of their work. (b) Three hard copies and one soft copy of the thesis in English or Hindi or any other language approved by the university. (c) No-dues Certificate (d) A declaration by the candidate and the Supervisor per Annexures B and C format. (e) A Pre-Ph.D. coursework completion certificate from the Head of the Department/Director per Annexure-D format. (f) The candidate shall be required to attach at least two published research papers from refereed and peer-reviewed journals/UGC-approved journals at the end of the thesis. (g) The candidate shall also submit one copy of the thesis and the abstract to the Supervisor, Co-Supervisor, and Library. (h) A competent authority should do a plagiarism check, and a proper certificate obtained by the committee should be attached along with other documents at the time of thesis submission. A thesis once submitted cannot be resubmitted except when the examiner recommends a revision of the thesis. (i) The Head of the Department shall forward the thesis to the Examination Controller (EC).
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	8.3		The Panel of Examiners for Evaluating the Ph.D. Thesis
		8.3.1	After the scholar completes a pre-Ph.D. seminar, DRC shall prepare a panel of six experts as External Examiners and send them to the Examination Controller for approval. In this panel, each examiner shall be from a different state/union territory/other university in India (not from the respective college) and shall specialize in the area of the respective department.
		8.3.2	Only the Dean/ Director/ Principal/ Professors/ Associate Professors/ their equivalents are eligible to be Examiners (external), and prior approval from the Exam Controller, followed by the V.C.
		8.3.3	In case of a research scholar who has done any part of his/her work in another institution, the panel of examiners shall not include any person working in that institution. The panel (for external examiners) shall not include the name of any person with whom the candidate has published a joint research paper.
		8.3.4	The DRC shall provide the complete and current addresses of all the examiners proposed for the panel, along with their specialization, e-mail addresses, and telephone/mobile numbers.
		8.3.5	The respective DRC shall consider and approve the panel of examiners. All the examiners listed in the panel shall be from the specific area of research work, and if necessary, the DRC may modify the panel.
	8.4		Thesis Evaluation
		8.4.1	The panel of Examiners (external) duly approved by the DRC shall be sent to the Examination Controller within two days after submitting the thesis in the Department.
		8.4.2	The Examination Controller shall send this panel of examiners to the Vice Chancellor or his nominee to get the approval of two external examiners.

		8.4.3	The Examination Controller shall contact each examiner by email with a soft copy of the abstract to secure their acceptance, apart from communicating through the post. The Examination Controller shall undertake the exercise within three days of receiving approval from the Vice Chancellor. The Examination Controller shall forward the thesis to the examiners within a maximum of three days from whom consent has been received. The thesis with an abstract would be sent to the two external examiners.
		8.4.4	The Examination Controller shall forward a copy of the thesis to the examiners within three days of receiving their consent (via e-mail or postal services) and take necessary action to expedite the examiner's report.
		8.4.5	The examiners shall be requested to submit their individual reports within two weeks of receiving the thesis.
		8.4.6	If an examiner does not send his/her report within the above period, a reminder will be sent to him/her by email or post. A subsequent reminder will be sent after seven days.
		8.4.7	If the examiner does not receive the report within two weeks, the examiner's name will be cancelled, and a new examiner will be appointed from the approved list.

	8.5		Examiner's Report
		8.5.1	The examiners shall submit the report on a prescribed format as given in Annexure-E and shall make one of the following recommendations: I. The thesis is accepted for the award of a Ph.D. degree. II. The thesis is accepted for the award of a Ph.D. degree, subject to the candidate giving satisfactory answers, at the time of viva voce, to the specific queries raised in the report. III. The thesis, in the present form, cannot be accepted for the award of the Degree, and the candidate is advised to revise the thesis on specific issues raised in the report and resubmit the thesis. In the case of a resubmission, the examiner shall specifically mention whether the thesis must be referred back to him/her for re-evaluation or not. IV. The thesis is rejected (Mention the reason for Rejection).

		8.5.2	If both external examiners recommend acceptance of the thesis for the award of the degree, the thesis shall be accepted.
		8.5.3	If both external examiners recommend rejecting the thesis for the award of the degree, the thesis will be rejected.
		8.5.4	If the examiner(s) raise some queries/seek clarifications, the candidate shall be required to answer the queries satisfactorily at the time of viva voce.
		8.5.5	If one or both external examiners recommend revising the thesis, the candidate must resubmit it within three months after revision.
		8.5.6	If one examiner recommends rejecting the thesis and the other recommends accepting it, the Examination Controller shall send the thesis to a third examiner from the approved list.
		8.5.7	If the third examiner recommends the rejection of the thesis, their recommendation shall be final, and the thesis shall be rejected.
		8.5.8	If the third examiner's recommendation matches any of the earlier examiners' recommendations, the majority decision shall prevail.
	8.6	Viva – Voce	
		8.6.1	The Examination Controller will get the approval of the Vice Chancellor or his nominee for one external examiner to conduct the viva voce examination and subsequently inform the Head of the Department and the Supervisor to organize the viva voce examination as soon as possible. Once the date is finalized, the Head of the Department shall inform the Examination Controller to send the communication to external examiners. TA/DA, as per university rules or as approved by the Vice Chancellor, would be paid to the external examiner.
		8.6.2	Suppose the thesis has been accepted for the degree award. In that case, the candidate shall be required to defend his/her thesis in an Open Défense, i.e., viva voce examination, before a duly constituted committee chaired by the Supervisor. The date, time, and venue of the viva voce, along with other necessary details, shall be adequately noted at least one week before the viva voce exam to enable other faculty members and research scholars to attend it.
		8.6.3	The Supervisor and the Co-Supervisor (if any) shall arrange for the viva voce of the candidate within a month from the date of communication to them from the Examination Controller for conducting the viva voce.

8.6.4		In some exceptional circumstances, if the examiner cannot come to conduct the viva voce, the open viva voce can be conducted through video conferencing. The recording of the complete viva voce through video conferencing shall be preserved by the Head of the Department/Supervisor and also made available as a soft copy if sought by an appropriate authority. The examiner shall submit the report in hard copy through the Head of the Department to the Examination Controller.
8.6.5		If the Supervisor or Co-Supervisor is unable to conduct the viva voce for any reason, the Head of the Department shall arrange to perform the viva voce. In such cases, the work shall be deemed carried out under the guidance of the original Supervisor/Co-Supervisor only.
8.6.6		Suppose a candidate, after submitting the thesis, has gone abroad and is not likely to return in the near future. The Examiners' reports on the thesis are unanimous without any major critical comments or corrections. In that case, the viva voce examination shall be conducted via video conferencing, if necessary.

9.		Academic, Administrative, and infrastructural requirements to be fulfilled by colleges for getting recognition/ permission for their Faculty Members to be co-opted as Supervisors.
9.1		The college Supervisors must fulfil the eligibility criteria as laid down in Paras 6.1 and 6.2. The colleges must also provide the required infrastructure and supporting facilities to promote research (as necessary for the concerned subject).
9.2		Exclusive research laboratories with equipments as specified by the University are essential.
9.3		Scholars can be allocated to full-time regular teachers of concerned department of an affiliated college of the University only after they are approved by the DRC the respective Departments at the University.
9.4		Teachers from colleges fulfilling the eligibility criteria as laid down in Para 6.1 and 6.2 shall be required to send an application to the respective Head of the Department in the University, mentioning their area of research. The principal of the college should duly recommend the application.
9.5		The Ph.D. programme will be conducted in a college or university with Supervisors. Colleges will not conduct a separate programme.

	9.6		The university shall advertise the total number of seats for admission to the Ph.D. programme.
	9.7		All candidates shall deposit the coursework fee, as prescribed, into the University.
	9.8		The University will enrol all scholars. Scholars with Supervisors from colleges will attend coursework at the respective Departments of the University/ colleges regularly and take the coursework examination with other scholars as per this Ordinance. After completing the coursework, the respective departments may allow supervision to be conducted by the college teachers. The college supervisor will submit such scholars' attendance to the university through the respective head of the department.
	9.9		The topics for the scholars, under the supervision of college teachers, will be finalized before the DRC of the respective Departments at the Institute/University.
	9.10		Scholars with the supervisors from the colleges will present their six-monthly progress report before the duly constituted RPC in the respective Departments of the Institute / University.

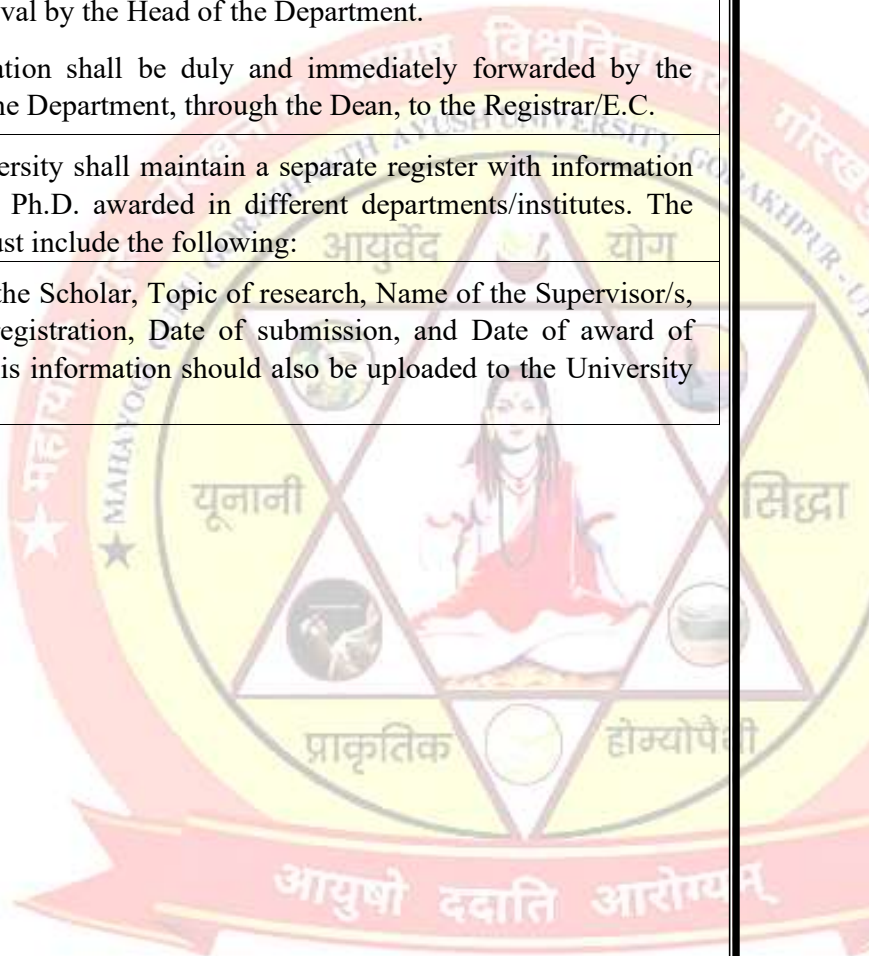
	9.11		The Pre-Ph.D. seminar and submission of thesis will be as laid down in Para 8.1 and 8.2.
	9.12		The college Supervisors will be invited members of the DRC when matters related to their research scholars under their supervision are to be discussed.
10.			Fee Structure
	10.1		Fee payable by a candidate admitted to the Ph.D. programme shall be as the University prescribes from time to time.
	10.2		As applicable, the scholar shall pay the full fee for the entire period for which extension is granted.
	10.3		Fees once paid to the University shall not be refunded except the caution money, which shall be refunded to the scholar within one year from the date of award of the degree or cancellation of admission on any ground, subject to the adjustment of any dues payable by him/her. Fee Per Year : Rs- 65000/- Only

11.			Admission Cancellation
	11.1		The E.C./V.C. may cancel the registration and admission of a candidate in any one of the following eventualities: I. If the candidate is absent for four weeks without prior information/sanction of leave. II. If the candidate fails to renew their registration and does not pay the fee. III. If two consecutive six-monthly progress reports of the candidate are unsatisfactory. IV. If the candidate fails to submit the thesis within the maximum stipulated time as provided in this Ordinance. V. For any errant behaviour, such as indiscipline, anti-university or anti-national activity, moral turpitude or any behaviour which is unlike that of a student. VI. If the candidate resigns from the Ph.D. programme and the resignation is duly recommended by the Supervisor. Further, it was provided that cancelling a candidate's registration [in the eventualities listed above] may not be taken without serving a show cause notice to the scholar and allowing him/her to reply.
12.			Depository
	12.1		The University would host another soft copy of the thesis on its own database/website for general viewing.
	12.2		The University shall issue a certificate stating that the Degree has been awarded in accordance with its provisions.

13			Miscellaneous
	13.1		Any doubt or dispute about the interpretation of these Ordinances shall be referred to the Vice Chancellor, whose decision, as the Chairperson of the Academic Council, shall be final. The Vice Chancellor is authorized to: Modify, amend, and/or delete any of the clauses in the Ordinance or add any clause(s) to this Ordinance, which shall be reported to the Academic Council at its next meeting for approval. (a) Order a special procedure for evaluating a thesis to protect the work of a classified nature involving national security and sovereignty and/or to protect the intellectual property rights of the candidate, the Supervisor, and the University. Relax any of the provisions laid in this Ordinance for handling circumstances not covered by this Ordinance
	13.2		Leave Rules: A research scholar shall be eligible to take leave as per the university rules applicable to university students. She/he shall not be entitled to any semester breaks. However, she/he would be entitled to an additional leave of up to 10 days on medical grounds in an academic year. The aforesaid leave provisions are cumulative. Further, female scholars shall be eligible for maternity leave once they have tenured as researchers. Scholars. However, all such leave would not be counted toward the minimum 36-month requirement for submission of the thesis, and in such a situation, the full 36 months need to be completed (including coursework).
	13.3		The leave shall be granted by the Head of the Department/Director of the Institute on the recommendation of the Supervisor and informed to the E.C.
	13.4		The Attendance Register for all the scholars for both coursework and the subsequent completion of the Ph.D. programme is to be maintained in the office of the Departments/institutes.

	13.5		All the admitted scholars shall be required to assist in the teaching, practical, demonstration, field work, project work, and examination work of the Department or the university.
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14.			Date of the Award of the Ph.D. Degree
	14.1		The date of the award of the Ph.D. degree will be the date of viva voce conducted in the Department by the panel of examiners after due approval by the Head of the Department. Its intimation shall be duly and immediately forwarded by the Head of the Department, through the Dean, to the Registrar/E.C.
	14.2		The University shall maintain a separate register with information about the Ph.D. awarded in different departments/institutes. The record must include the following:
			Name of the Scholar, Topic of research, Name of the Supervisor/s, Date of registration, Date of submission, and Date of award of Ph.D.. This information should also be uploaded to the University website.



ANNEXURE- A

Interview Award List		
Maximum Marks = 30		
PART A	Explanation	Marks awarded
Presentation/Viva-Voce (Maximum 20 Marks)	Evaluation of the candidate based on their understanding of the research process and issues in the proposed area of research, based on the presentation by the candidate, and performance in the viva voce	
Total marks (Maximum 30 Marks)	Marks in Parts	

ANNEXURE-B

CANDIDATE'S DECLARATION

I,.....certify that the work embodied in this Ph.D. thesis entitled.....

It is my own bona fide work, which I carried out under the supervision ofand co-supervision offor a period of.....(months/days) from to.... at the University of Lucknow. The content embodied in this Ph.D. thesis has not been submitted for any other degree/diploma award.

I have faithfully acknowledged, given credit to, and referred to the research workers wherever their works have been cited in the text and the body of the thesis. I further certify that I have not wilfully used any other person's work, para, text, data, results, reported in the journals, books, magazines, reports, dissertations, theses, or available on the website/internet, and included them in this Ph.D. thesis and cited that as my own work.

Date.....

(Signature of the Ph.D. Scholar)

Place:

(Name of The Ph.D. Scholar)

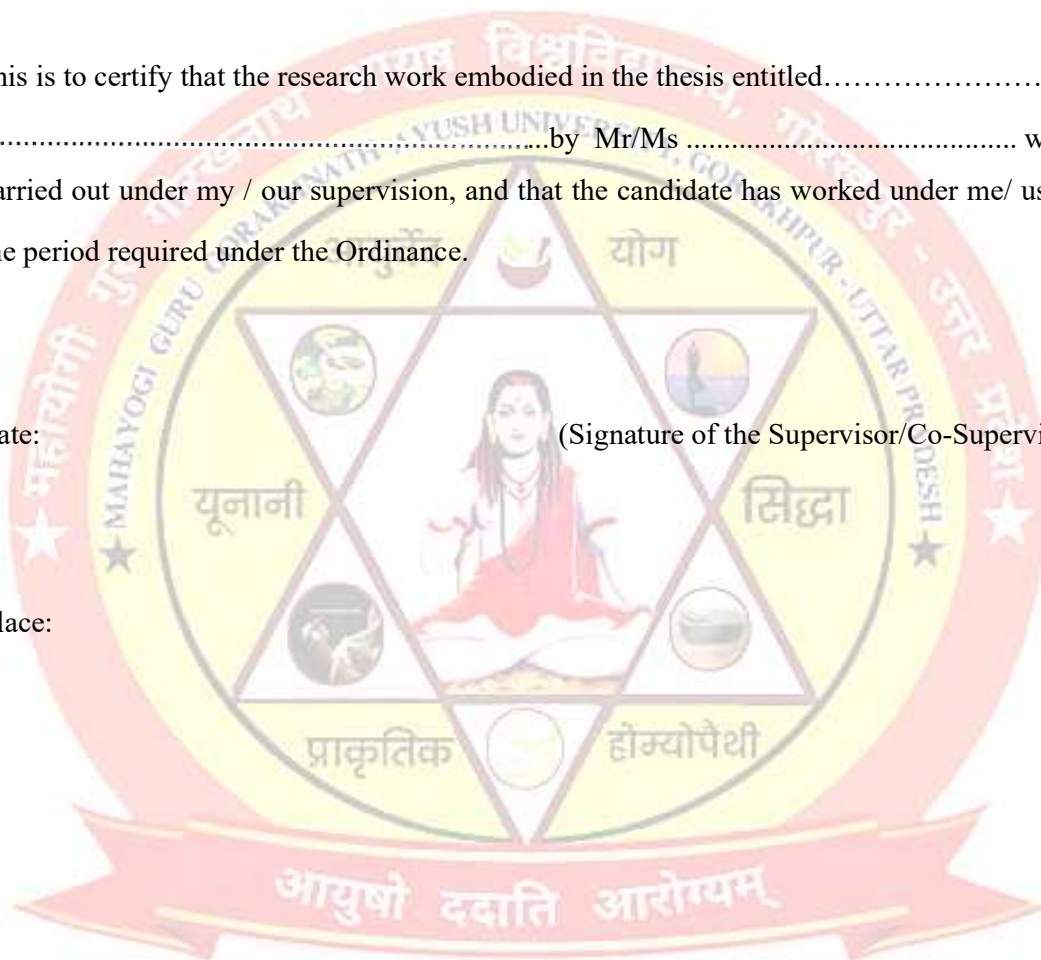
ANNEXURE- C

CERTIFICATE FROM THE SUPERVISOR / CO-SUPERVISOR

This is to certify that the research work embodied in the thesis entitled.....
.....by Mr/Ms was
carried out under my / our supervision, and that the candidate has worked under me/ us for
the period required under the Ordinance.

Date: (Signature of the Supervisor/Co-Supervisor)

Place:



ANNEXURE-D
CERTIFICATE FROM THE HEAD OF THE DEPARTMENT/ DIRECTOR OF
THE INSTITUTE FOR SUCCESSFUL COMPLETION OF Ph.D.
COURSEWORK

TO WHOM IT MAY CONCERN

This is to certify that Mr/Ms has completed the Ph.D. coursework on (date of declaration of result) as required under the Ordinance.

Date:

(Signature of the Head of the
Department/Director of the Institute)

Place:



ANNEXURE-E

CONFIDENTIAL

**MAHAYOGI GURU GORAKHNATH AYUSH UNIVERSITY,
GORAHPUR, UP (INDIA)**

EXAMINER'S RECOMMENDATION AND REPORT

In respect of the thesis entitled.....

RECOMMENDATION OF THE EXAMINER

- i) The thesis is accepted for the award of a Ph.D. degree.
- ii) The thesis is accepted for the award of a Ph.D. degree, subject to the candidate giving satisfactory answers, at the time of viva voce, to the specific queries raised in the report.
- iii) The thesis, in the present form, cannot be accepted for the award of the Degree, and the candidate is advised to revise the thesis on specific issues raised in the report and resubmit the thesis. In the case of a resubmission, the examiner shall specifically mention whether the thesis must be referred back to him/her for re-evaluation.
- iv) The thesis is rejected.

Place.....

Date.....

Signature of Examiner

Note: Please strike out what is not applicable. If necessary, please use a separate sheet. For a clear understanding, a typed report must be sent.

DETAILED REPORT

TO WHOM IT MAY CONCERN

This is to certify that Mr/Ms. Son/ daughter of.....Enrolment No.....
I was awarded a Ph.D. in the subject of...by this University in the year..... as per the University rules/ records.



VICE - CHANCELLOR

ANNEXURE-G

Documents to be submitted with Thesis and Submission Form

1 Three hard copies of the thesis with soft cover and printed on both sides of paper, which will include:

- Candidate's Declaration
 - Certificate from Supervisor/Co-Supervisor
 - Certificate from the Head of Department/Director of the Institute for successful completion of coursework
 - Certificate of Plagiarism check
 - Synopsis
 - Two published papers in refereed journals.
 - Two seminar certificates.
2. Soft copy of the abstract and Thesis, along with the listed documents, on a CD or Pen Drive.
 3. Thesis submission fee receipt in original.
 4. Photocopy of the fee receipts for every year.
 5. Permission for thesis submission after 4 years.
 6. Photocopies of marksheets from high school to Master's degree.
 7. No Objection Certificate (NOC) in original from Director/Dean/Incharge Library And Head of the Department.
 8. An attested photograph on the Thesis submission form is compulsory.